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Factors affecting the course of Graves' orbitopathy and poor response to glucocorticoid treatment followed by orbital radiotherapy

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ABSTRACT

Graves' orbitopathy is a rare autoimmune disorder characterized by the inflammation of orbital tissues. The course of disease can be described in terms of its activity and severity.

Aim: The aim of our study was to determine the factors affecting the activity and severity of Graves' orbitopathy, as well as to identify the predictive factors of poor response to glucocorticoid treatment followed by orbital irradiation.

Methods: We performed a prospective observational study of 214 patients with Graves' orbitopathy who were divided into two groups depending on the treatment they had previously obtained for their Graves' disease. They received i.v. methylprednisolone pulses followed by orbital radiotherapy. They were examined and had their TSH, TRAb and FT₄ levels evaluated prior to treatment and after 1, 6 and 12 months.

Results: A pre-treatment TRAb concentration higher by one unit (U/L) implied a mean increase in the relative risk of active orbitopathy by 4.7% (p = 0.0362). A TRAb concentration higher by one U/L 1 month after treatment implied a mean increase in the relative risk of moderate-to-severe and severe GO by 8.7% (p = 0.0167) 6 months after treatment. As regards poor response to treatment, patients with moderate-to-severe and severe Graves' orbitopathy on admission carried a higher risk of being non-responders. Each point scored on the NOSPECS scale prior to treatment increased the relative risk of the patient being a non-responder by 30%.

Conclusions: Patients with higher TRAb levels have a higher risk of active Graves' orbitopathy and moderate-to-severe and severe Graves' orbitopathy. Monitoring TRAb serum concentration in those patients is of great importance. Patients with more severe Graves' orbitopathy carry a higher risk of being poor responders to immunosuppressive treatment. Therefore, careful monitoring of patients with Graves' orbitopathy and their early referral to specialized centers is essential.

Key words: Graves' orbitopathy, Graves' disease, TRAb, NOSPECS, glucocorticoid treatment, orbital radiotherapy



HIGHLIGHTS

The course of Graves' Orbitopathy is affected by TRAb concentration. About 30% of patients receiving immunosuppressive treatment do not respond to the therapy. Patients with more severe Graves' Orbitopathy (NOSPECS >5) carry a higher risk of being a non--responder. Careful monitoring of GO patients and early referral to specialized centers is essential.

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INTRODUCTION

Graves' orbitopathy (GO) is an autoimmune disorder closely related to autoimmune thyroid disease. The autoimmune response is triggered by antibodies (TRAbs) against the thyroid-stimulating hormone receptor (TSH-R), which is expressed in orbital fibroblasts. This leads to an inflammatory infiltration of orbital tissues, increased production of glycosaminoglycans (GAGs) and adipogenesis. The insulin-like growth factor 1 receptor (IGF-1R) is also expressed by orbital fibroblasts and plays an essential role in GO pathogenesis [1–3]. The evolution of GO is self-limiting and may be described by the Rundle curve [4]. The first three phases of GO correspond to an active inflammation of orbital tissues. The first phase involves worsening signs and symptoms. During the second, plateau phase, no further exacerbation is observed. The third phase of gradual improvement is followed by the final, inactive phase with no further progression. As the active inflammation resolves, orbital tissue fibrosis ensues [5, 6].

Disease *activity* can be assessed by the Clinical Activity Score (CAS), which comprises the typical signs of inflammation: redness, pain, swelling and impaired function. The scored signs and symptoms include: eyelid swelling, eyelid erythema, conjunctival redness, chemosis, inflammation of the caruncle or plica, pain behind the globe and pain on gaze [7]. A CAS \geq 3 indicates an active disease [8].

Within disease *severity* the functional and cosmetic changes related to the inflammation and fibrosis of the extraocular muscles and soft tissues are evaluated. The features considered in GO severity assessment are: palpebral aperture, soft-tissue involvement, exophthalmos, extraocular muscle dysfunction, corneal pathology and sight loss due to optic nerve compression. GO severity can be evaluated by means of the NOSPECS and EUGOGO classifications [9, 10].

Precise assessments of GO activity and severity are of great importance as they determine the choice of treatment. Surgical interventions, except for orbital decompression in dysthyroid optic neuropathy (DON), should not be undertaken while there is active inflammation of orbital tissues. On the other hand, all immunomodulatory therapies are of benefit during active GO [1, 11]. Patients with mild GO should be monitored, while patients with moderately severe and active GO should be treated with intravenous steroid pulses as a treatment of choice. Glucocorticoid treatment may be followed by orbital radiotherapy to enhance its efficacy [6, 12]. Both treatment modalities have an anti-inflammatory effect and have proved to be effective [13, 14].

Steroids induce anti-inflammatory proteins, inhibit key inflammatory mediators, decrease glycosaminoglycan production and suppress the function of immunocompetent cells [15]. They have a positive impact on visual acuity, orbital tissue oedema, inflammation of extraocular muscles and ocular motility [16]. Radiotherapy particularly improves ocular motility in GO patients by diminishing eye muscle enlargement and soft-tissue swelling [17]. It acts on lymphocytes, which are radiosensitive and infiltrate the orbit [18]. Radiotherapy also inhibits nitric oxide production, which reduces inflammatory pain and oedema [1].

Although effective in terms of reducing inflammation, both glucocorticoids and radiotherapy are not targeted treatments for GO. This may be the reason why ½ of all patients do not respond to these treatment modalities [6, 19, 20]. The aim of our study was to determine the factors affecting the activity and severity of GO and to search for predictive factors which determine poor response to glucocorticoid treatment followed by orbital irradiation. Since radioiodine (¹³¹I) treatment for Graves' disease (GD) may result in *de novo* GO or may exacerbate the course of concurrent GO [21–23], we divided our patients into two groups, depending on the treatment they received for GD: the ATD group – treated with anti-thyroid drugs, and the ¹³¹I group – additionally treated with radioiodine.

MATERIALS AND METHODS

We performed a prospective observational study of 214 patients treated between 2000 and 2008 for GO. The study was conducted in accordance with Helsinki Declaration. Patients gave their written informed consent to take part in the study and the study was approved by the Jagiellonian University Bioethics Committee. Patients were divided into two groups depending on the treatment they had previously received for their GD: a group, consisting of 168 patients, who had been treated with antithyroid drugs (the ATD group) and another, including 46 subjects, who had been treated with radioiodine (the ¹³¹I group). For a detailed description and comparison of the two groups see table 1 in our previous article [24].

Patients were examined by the same ophthalmologist and had their TSH, TRAb and FT_4 levels evaluated prior to treatment and after 1, 6 and 12 months. The activity and severity of GO were evaluated by means of CAS and NOSPECS classifications, respectively. GO severity was expressed by the Orbitopathy Index (IO). All patients were rendered euthyroid and received 1.0 g of methylprednisolone intravenously for 2 consecutive days each week, up to a total dose of 8.0 g. The treatment was followed by orbital irradiation with a total dose of 20 Gy in 10 daily fractions 1 month later.

We assumed that CAS \geq 3 and IO \geq 3 represented active GO and proceeded to search for factors affecting GO activity. Using logistic backward step-wise regression, we analysed whether radioiodine therapy, age, gender and pre-treatment TSH, FT₄ and TRAb (TSH-0, FT₄-0, TRAb-0) levels had any influence on GO activity.

We determined the factors affecting GO severity. We assumed that IO > 5 represents moderate to severe and severe

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GO [25]. Using logistic regression, we evaluated the risk of moderate-to-severe and severe GO (IO > 5) with respect to TRAb concentration.

Finally, we analysed the relationship between CAS and IO using the chi-squared test for independence. Before treatment, all patients were divided into three groups with respect to IO (IO 1–2, IO 3–8, IO 9–15) [26] and assigned to subgroups of CAS \leq 3 or CAS > 3.

In our study, patients who required additional treatment with oral glucocorticoids after intravenous methylprednisolone pulses and subsequent orbital radiotherapy were classified as non-responders. To identify the predictive factors of poor response to combined immunosuppressive treatment in both groups we performed a discriminant analysis with a backwards variable selection, which included age, gender, duration of hyperthyroidism, duration of GO, pre-treatment TSH, FT₄ and TRAb (TSH-0, FT₄-0, TRAb-0) levels, as well as GO activity and severity prior to treatment (CAS-0 and IO-0 respectively).

RESULTS

We found that the only factors affecting GO activity in our patients were pre-treatment TRAb levels (TRAb-0) and age. As seen in table 1, TRAb-0 concentration higher by one unit (U/L) in both groups (ATD and ¹³¹I) analysed together, implied a mean increase in the relative risk of active orbitopathy of 4.7% (p = 0.0362). In turn, each year of age increased the mean relative risk of active GO by 2.8% (p = 0.0603).

TABLE

Influence of age and TRAb-0 on GO activity.					
Variable	e n Relative 95% config risk interva		95% confidence interval	р	
Age	171	1.028	0.999–1.059	0.0603	
TRAb-0	171	1.047	1.003–1.092	0.0362	

The correlation between TRAb concentration and GO severity expressed by the IO was investigated using Spearman's rank correlation coefficient. The values of TRAb concentration and their logarithms were analysed over all observation times. A positive correlation between TRAb and IO over time observation was found in both ¹³¹I and ATD groups. In both these groups, TRAb-0 concentrations prior to treatment correlated positively with TRAb concentrations after 1, 6 and 12 months (p < 0.05). A similar correlation was observed with respect to the ophthalmopathy index IO. Higher IO-0 and TRAB-0 values resulted in high-

er ophthalmopathy index values and TRAb concentrations over the time of observation.

As shown in table 2, in the ¹³¹I group, TRAb concentration 1 month after treatment (TRAb-1) correlated with the parallel IO-1 and was a good predictor of the IO value after 6 months (IO-6). TRAb-1 concentration higher by one U/L implied a mean increase in relative risk of moderate-to-severe and severe GO (IO-1 > 5) by 5.6 % (p = 0.0498), and of IO-6 > 5 by 8.7% (p = 0.0167).

TABLE

Risk of moderate to severe and severe GO (IO $>$ 5) with
respect to TRAb concentration prior to and 1 month after
GO treatment – logistic regression. The coefficients with an
asterix are statistically significant; $p < 0.05$.

Inde- pendent variable	Depen- dent variable	n	Rela- tive risk	95% confidence interval	р
TRAb-0	IO-0 > 5	40	1.009	0.980–1.039	0.5230
	IO-1 > 5	38	1.019	0.988–1.051	0.2294
	IO-6 > 5	36	1.049	1.005–1.094	0.0284*
	IO-12 > 5	35	0.971	0.847–1.114	0.6676
TRAb-1	IO-1 > 5	32	1.056	1.000–1.115	0.0498*
	IO-6 > 5	32	1.087	1.016–1.162	0.0167*
	IO-12 > 5	29	0.987	0.865–1.126	0.8405

*Coefficients with an asterix are statistically significant; p < 0.05.

As shown in table 3, we also found that IO depends on CAS. All patients with very severe GO (IO 9-15) on admission had a CAS- 0 > 3 and all patients with mild GO (IO 1–2) on admission had a CAS- $0 \le 3$.

TABLE 3

Relationship between the CAS and 10 index.				
IO-0 p = 0.0000	CAS-0 ≤ 3 (n), (%)	CAS 0 > 3 (n), (%)		
IO 1-2	10 10.99%	0 0.00%		
IO 3-8	81 89.01%	106 90.60%		
IO 9–15	0 0.00%	11 9.40%		
Total	91 100%	117 100%		

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As regards poor response to treatment, 28% (13/46) of patients in the ¹³¹I group were classified as non-responders. A discriminant analysis with backwards variable selection revealed that only TSH-0 (p = 0.0498) and IO-0 (p = 0.0574) remained as variables likely to predict patients' poor response to treatment in this group. Since the efficiency of correct classification was only 73%, TSH-0 and IO-0 were not the decisive factors. In the ATD group, 41% (69/167) of patients were classified as non-responders. The only variable likely to predict a patient's poor response to treatment in this group was IO-0 (p < 0.05). Since the efficiency of correct classification was only 60%, IO-0 was probably not a decisive factor. As shown in table 4, the final model of logistic regression revealed that each IO-0 point scored prior to treatment increased the relative risk of the patient being a poor responder by 30% (RR = 1.30; 95% CI 1.10–1.54; n = 163); p < 0.05.

TABLE

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IGURE 1

Theoretical log-normal distributions of IO-0 in subgroups of patients who required (poor response, n = 82) or did not require (n = 131) oral glucocorticoid treatment after methylprednisolone pulses (total: n = 213 patients).



Coefficients with an asterix are statistically significant; $p < 0.05$.								
N = 109	Gender	Age	Duration of GO	TSH-0	FT4-0	TRAb-0	CAS-0	IO-0
RR	1.08	0.98	1.00	1.01	1.01	1.01	0.70	1.87
95% CI	0.41-2.88	0.94–1.02	0.98–1.02	0.93–1.10	0.97–1.05	0.99–1.03	0.46-1.07	1.25–2.79
р	0.8745	0.2470	0.8208	0.7300	0.5508	0.4423	0.0980	0.0020*

Variables likely to predict a patient's poor response to treatment in the ATD group. Model of logistic regressio

*Coefficients with an asterix are statistically significant; p < 0.05.

We analysed the empirical distribution and theoretical log-normal distributions of IO-0 of non-responders (n = 82) and responders (n = 131) in both groups obtaining a cut-off value of IO-0 > 5, which means that patients whose IO-0 exceeded 5 carried a higher risk of being a non-responder. As shown in figure 1, the proposed cut-off value takes into account that poor responders comprised approximately 40% of all patients (sensitivity 47%, specificity 71%, positive predictive value 51%, negative predictive value 68%).

DISCUSSION

The objective of our study was to identify factors likely to influence GO activity, severity and poor response to combined immunosuppressive treatment (intravenous methylprednisolone pulses and subsequent orbital radiotherapy). Previous studies have already found that TRAb concentration has an impact on the course of Graves' orbitopathy. Higher TRAb serum levels increase the risk of active GO and moderate-to-severe or severe GO [25]. We performed TRAb measurements using a second-generation thyrotropin-binding inhibitor immunoglobulin (TBII) assay (TRAK human by BRAHMS GmbH, Germany); however, TRAb can also be evaluated by means of different functional TSH-receptor stimulating immunoglobulin (TSI) bioassays [27].

In our study, a TRAb level higher by one unit (U/L) before treatment implied a mean increase in relative risk of active GO by 4.7%. Gerding et al. measured TSH binding inhibiting immunoglobins (TBII) and TSH-receptor stimulating immunoglobins (TSI) in 63 patients with GO. They compared 2 measurement modalities and found that TBII and TSI serum levels were strongly related to each other, as well as strongly correlated with the CAS value. Gerding et al. also found a correlation between proptosis and both TBII and TSI [28]. Similar results were obtained by Jang et al [27].

We found a positive correlation between TRAb and the severity of GO (IO) over the entire observation period. In the study by Eckstein et al., TBII concentrations were higher in subjects with severe GO than in subjects with mild GO during the entire observation time [25]. Just like in our study, the authors used a second-generation thyrotropin-binding inhibitor immunoglobulin (TBII) assay (TRAK human by BRAHMS GmbH, Germany) and established the TBII cutoff values for the prediction of severe GO. Prediction of severe GO was possible after 4 months of observation, which is in line with our results. Our study showed that in the radioiodine-treated group, TRAb concentration 1 month after treatment was a good predictor of GO severity after 6 months. TRAb concentration higher by one U/L implied a mean increase in relative risk of moderate-to-severe and severe GO 6 months after treatment by 8.7% (tab. 2). These results again confirm the role TRAb play in the pathogenesis of Graves' orbitopathy. TRAb level before treatment is a better predictor for GO activity. High TRAb concentrations at the onset of Graves' orbitopathy may occur in patients with a mild and with a severe course of GO; however, in patients with a severe course of the disease, TRAb levels remain elevated for a considerably longer period of time. It should be noted, though, that in long-lasting GO, in an inactive fibrotic stage, TRAb levels no longer correlate with GO severity [28].

Given that a higher TRAb level is a risk factor of active and severe GO, careful monitoring of TRAb serum concentration in GO patients is essential.

The limitation of this study is the use of high-dose intravenous methylprednisolone pulses of 1 g for 2 consecutive days each week. This treatment protocol is no longer recommended. The reason for this choice of treatment in our study was that the data were collected between 2000 and 2008, when high-dose i.v. glucocorticoids were still commonly used [16, 29]. Since lower doses have the same or only slightly lower efficacy and a much lower rate of adverse events, the current regimen recommended by the European Group on Graves' Orbitopathy (EUGOGO) starts with 0.5 g of methylprednisolone once weekly for 6 weeks, followed by 0.25 g once weekly for 6 weeks, up to a cumulative dose of 4.5 g. The high-dose regimen is still used, but it is now reserved for more severe cases with diplopia. This regimen begins with 0.75 g once weekly for 6 weeks, followed by 0.5 g once weekly for 6 weeks, up to a cumulative dose of 7.5 g [6]. The cumulative dose is almost the same as in the old protocols (8 g), but it is more staggered and single doses are not administered on consecutive days, which increases treatment safety. The only exception is DON, which should be treated as follows: 0.5–1.0 g of methylprednisolone for 3 consecutive days for 2 weeks [6, 30].

Cumulative doses exceeding 8 g can cause acute liver damage and should be avoided [31, 32]. In our study, we did not exceed the cumulative dose of 8.0 g of methylprednisolone. Since the efficacy of higher doses of steroids is the same, even outperforming the new regimen in eye motility improvement, we believe that application of the old treatment modality does not influence the subject of our study [33].

Another disadvantage of this study is our failure to investigate our patients' smoking habits, as this could have affected our results. Smoking has been proven to be a risk factor for the occurrence of GO and for its progression following

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¹³¹I treatment. It increases the risk of severe GO and reduces response to treatment. The effect of smoking depends on the daily number of cigarettes smoked [34].

As regards poor response to treatment, in our study 28% of patients after radioiodine therapy administered for GD and 41% of patients on antithyroid drugs were classified as non-responders. This is in line with other studies, which confirm that about one third of patients do not respond to steroid immunosuppressive treatment [6, 19, 20]. There might be several reasons for this relatively low response rate.

The first one might be a wrong interpretation of patients' response to therapy. For patients in the first phase of the Rundle curve, during which the signs and symptoms are naturally worsening, lack of evident improvement after immunosuppressive treatment may in fact reflect the inhibition or delay of GO progression. This implies that the treatment is in fact effective [1, 35]. Another explanation for the low response rate might be incorrect qualification of patients for immunosuppressive treatment. Patients with an inactive fibrotic disease with very advanced GO and severe symptoms are unlikely to respond to treatment [28]. Occasionally, these patients end up being treated with glucocorticoids even though they would better benefit from surgical approach [16]. This is why a very meticulous evaluation of disease activity using the Clinical Activity Score is so important. There are some patients, however, who score low in the CAS classification, yet their disease is visibly progressing. They present with a "white eye phenotype" with minimal signs or symptoms of inflammation, but with progressing proptosis, diplopia or restriction of eye movements. Some of these patients do not respond to steroids [36].

We aimed at identifying predictive factors of poor response to the applied treatment for GO. In the ¹³¹I group, the pre-treatment TSH level (TSH-0) and the severity of GO before treatment (IO-0) were the only variables likely to predict a patient's poor response to treatment. In the ATD group, the only factor influencing patients' response to treatment was IO-0. Each IO-0 point scored prior to treatment increased the relative risk of the patient being a poor responder by 30%. We obtained a cut-off value of IO-0 > 5 for being a non-responder, which means that patients whose IO-0 exceeded 5 carried a higher risk of being a non-responder.

Proptosis is one of the main symptoms of GO and plays an important role in assessing the severity of GO. Patients with more severe GO tend to have more pronounced proptosis. However, the beneficial effect of steroids and orbital radiotherapy on proptosis is limited [13, 17, 37, 38], which may be the reason why patients with more advanced GO were less likely to improve after treatment [39, 19]. In our study, 54% of patients in the ¹³¹I group and 53% of patients in the ATD group had proptosis – a result which is similar to those reported in other studies [40, 41].

Other risk factors which increase the probability of a poor response to glucocorticoid treatment mentioned in the literature are smoking and a low-density lipoprotein cholesterol (LDLc) serum level exceeding 190 mg/dL [42, 43]. Early response to treatment is also a predictive factor of later response. In the study by Bartalena et al., patients who deteriorated at 6 weeks did not improve at 12 or 24 weeks. Of patients whose condition remained unchanged at 6 weeks, only 28% did later improve [35].

Both intravenous steroid pulses and orbital radiotherapy are based on non-specific immunosuppression, which may also explain the high rate of poor response to treatment. IV glucocorticoids affect dendritic and T-cells, inhibit the function and reduce the number of immune cells in orbital tissues. IV glucocorticoids also decrease the synthesis of prostaglandins and pro-inflammatory proteins [15]. Radiotherapy affects radiosensitive lymphocytes and fibroblasts in the orbit, thus reducing inflammation [13, 38].

Fortunately, a more targeted treatment already exists and will hopefully decrease the number of non-responders. The TSH-R and IGF-1-R play a crucial role in active inflammation, adipogenesis and increased production of glycosaminoglycans, leading to the expansion of ocular muscles and to the build-up of orbital fat [2, 3]. They form a signalling complex present in orbital fibroblasts that was not targeted by any previously available GO treatment until January 2020, when the US Food and Drug Administration (FDA) approved teprotumumab for the management of Graves' orbitopathy. Teprotumumab is a human IGF-1R inhibitory monoclonal antibody reacting with the IGF-1-R/ TSH-R complex and attenuating the signalling initiated by IGF-1, TSH and thyroid-stimulating immunoglobulins [37]. Teprotumumab was tested in 2 randomised double-blind placebo-controlled multicentre trials [44], where 84 patients were treated with teprotumumab and 84 received placebo. They were followed up for 24 weeks. Patients in the teprotumumab group had a significant improvement in CAS and diplopia as compared to the placebo group. Moreover, 77% of patients in the active treatment group achieved a reduction in proptosis of at least 2 mm as compared to 13% in the placebo group [44].

Although these results are very promising, we still lack randomized studies comparing the efficacy of teprotumumab and i.v. glucocorticoids. The long-term efficacy and safety of teprotumumab remains unknown. Another clear obstacle is the cost of the new drug, which leaves steroids as a perhaps imperfect but current gold standard of treatment. Given that GO severity is a risk factor of poor response to immunosuppressive treatment, careful monitoring of GO patients and early referral to specialized centers is essential.

CONCLUSIONS

Patients with higher TRAb levels have a higher risk of active GO.

Higher TRAb concentrations increase the risk of moderate-to-severe and severe GO.

Monitoring of TRAb serum concentration in patients with GO is essential.

Older patients have a higher risk of active GO.

Patients with a more active disease tend to have more severe GO.

Patients with more severe GO carry a higher risk of being poor responders to immunosuppressive treatment.

Careful monitoring of GO patients and early referral to specialized centers is essential.

A high percentage of GO patients do not respond to intravenous steroid pulses and orbital radiotherapy.

Fortunately, new more targeted treatments are already becoming available.

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Agnieszka Jagiełło-Korzeniowska: conceptualization, analysis and interpretation of data, writing-original draft preparation. Andrzej Sokołowski: analysis and interpretation of data, writing-review and editing. Alicja Hubalewska-Dydejczyk: supervision, writing-review and editing. Bożena Romanowska-Dixon: supervision, writing-review and editing. Agata Bałdys-Waligórska: conceptualization, analysis and interpretation of data, supervision, writing-review and editing. All authors have made the final approval of the version to be submitted.

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The content presented in the article complies with the principles of the Helsinki Declaration, EU directives and harmonized requirements for biomedical journals.

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